

BEST AVAILABLE COPY

Docket No.

P03079

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  
**HIGH REFRACTIVE INDEX AROMATIC BASED PREPOLYMER PRECURSORS**

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as United States Application No. or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

☐

\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

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\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

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I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional

_____	_____
(Application Serial No.)	(Filing Date)

_____	_____
(Application Serial No.)	(Filing Date)

_____	_____
(Application Serial No.)	(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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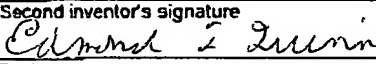
POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

RITA D. VACCA, REG. NO. 33,624  
CRAIG E. LARSON, REG. NO. 27,917  
DENIS A. POLYN, REG. NO. 27,152  
JOHN E. THOMAS, REG. NO. 34,070  
MICHAEL L. SMITH, REG. NO. 35,685  
KATHERINE MCGUIRE, REG. NO. 33,537  
WILLIAM J. GREENER, REG. NO. 38,165

Send Correspondence to: RITA D. VACCA  
BAUSCH & LOMB, INC.  
ONE BAUSCH & LOMB PLACE  
ROCHESTER, NEW YORK 14604-2701

Direct Telephone Calls to: (name and telephone number)  
RITA D. VACCA (636) 226-3340

Full name of sole or first inventor <b>YU-CHIN LAI</b>	
Sole or first inventor's signature 	Date 10/24/01
Residence <b>PITTSFORD, NEW YORK</b>	
Citizenship <b>USA</b>	
Post Office Address <b>23 COPPER WOODS</b>	
<b>PITTSFORD, NEW YORK 14534</b>	

Full name of second inventor, if any <b>EDMOND T. QUINN</b>	
Second inventor's signature 	Date 10-24-2001
Residence <b>ROCHESTER, NEW YORK</b>	
Citizenship <b>USA</b>	
Post Office Address <b>2373 EAST RIDGE ROAD, APT. 94</b>	
<b>ROCHESTER, NEW YORK 14622</b>	

FEBRUARY 04, 2002

PTAS

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

BAUSCH & LOMB INC.  
RITA D. VACCA  
ONE BAUSCH & LOMB PLACE  
ROCHESTER, NY 14604



\*101916177A\*

2/19/02

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF  
THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS  
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER  
REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE  
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA  
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD  
FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY  
CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.  
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,  
ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,  
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/02/2001

REEL/FRAME: 012359/0581  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

LAI, YU-CHIN

DOC DATE: 10/24/2001

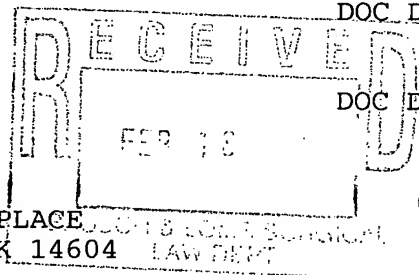
ASSIGNOR:

QUINN, EDMOND T.

DOC DATE: 10/24/2001

ASSIGNEE:

BAUSCH & LOMB INC.  
ONE BAUSCH & LOMB PLACE  
ROCHESTER, NEW YORK 14604

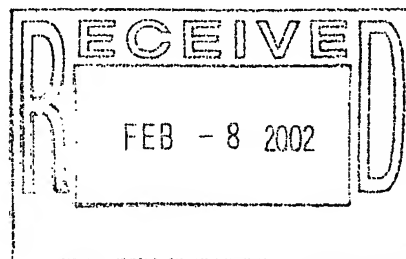


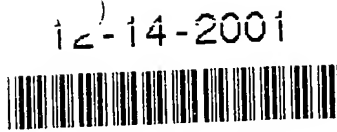
SERIAL NUMBER: 10004418  
PATENT NUMBER:

FILING DATE: 11/02/2001  
ISSUE DATE:

JEEVON JONES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

FEB 11 2002





Packet No.: P03079

COVER SHEET  
ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings

101916177

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Yu-Chin Lai  
Edmond T. Quinn

11/02/01

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: October 24, 2001

2. Name and address of receiving party(ies):

Name: Bausch & Lomb Inc.

Internal Address:

Street Address: One Bausch & Lomb Place

City: Rochester State: NY ZIP: 14604

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: October 24, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bausch & Lomb Inc.

Internal Address:

Street Address: One Bausch & Lomb Place

City: Rochester State: NY ZIP: 14604

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
☒ Authorized to be charged to deposit account

8. Deposit account number:

02-1425

12/14/2001 LKUELLER 00000077 021425 10004418

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Rita D. Vacca, Reg. No. 33624

Name of Person Signing

Signature

November 2, 2001

Date

Total number of pages including cover sheet, attachments, and document:

5

Serial No.:

Filed:

Docket No.: P03079

### ASSIGNMENT

WHEREAS, WE:

- (1) Yu-Chin Lai
  - (2) Edmond T. Quinn
- residing at:

	<u>STREET ADDRESS:</u>	<u>CITY AND STATE:</u>
(1) Yu-Chin Lai	23 Copper Woods	Pittsford, New York 14534
(2) Edmond T. Quinn	2373 East Ridge Rd., Apt. 94	Rochester, New York 14622

have invented certain new and useful improvements in:

### HIGH REFRACTIVE INDEX AROMATIC BASED PREPOLYMER PRECURSORS

filed on \_\_\_\_\_ and assigned serial number \_\_\_\_\_, the filing date and serial number to be inserted in the spaces provided once assigned, and,

WHEREAS, BAUSCH & LOMB, INC., a corporation duly organized and existing under the laws of the State of New York, and having a place of business at One Bausch & Lomb Place, in the City of Rochester, and State of New York, hereinafter called **ASSIGNEE**, is desirous of acquiring the entire right, title and interest in and to said invention, in the United States of America, its territories, dependencies and possessions, and in all foreign countries, and in and to any United States or foreign Letters Patent that may be granted therefor, and in and to such other forms of protection of industrial property as may be granted therefor pursuant to the laws of any country;

NOW, THEREFORE, in consideration of ONE DOLLAR (\$1.00) in hand paid to each of us, and other good and valuable considerations, the receipt of which is hereby acknowledged, we by this present, have sold, assigned and transferred, and do hereby sell, assign and transfer, to ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in and to the said invention, in the United States of America, its territories, dependencies and possessions, and in all foreign countries, in and to any subsequently filed provisional or nonprovisional patent application of the United States, and in and to any Letters Patent of the United States and foreign countries (including all divisions, reissues, continuations and extension thereof) that may be granted therefor, and in and to such other forms of protection of industrial property as may be granted therefor pursuant to the laws of any country, and the right to apply for Letters Patent and such other forms of protection of industrial property as may be provided by any country, with full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty or by international convention, together with the right to extend the protection of said United States Letters Patent to territorial possessions now owned or which may hereafter be acquired by the United States of America, for the full term of which said Letters Patent or said other forms of protection may be granted;

And we covenant and agree with ASSIGNEE that we have full and unencumbered title to the invention hereby assigned, which title we warrant to ASSIGNEE;

And we further agree that we will, at ASSIGNEE'S request, without demanding further consideration therefor but entirely at ASSIGNEE'S expense, do all lawful and just acts, including the execution and acknowledgement of instruments, that may be or become necessary for obtaining, sustaining or reissuing United States and foreign letters Patent or said other forms of protection covering the invention hereby assigned, and for maintaining and perfecting ASSIGNEE'S right to said invention, Letters Patent and other forms of protection, particularly in cases of interference and litigation.

IN WITNESS WHEREOF, we have respectively, hereunto set our hands and

seals;

(1) this 24<sup>th</sup> day of October, 2001

(1)   
Yu-Chin Lai

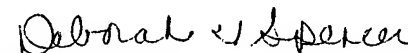
WITNESS AS TO (1):



DATE: 10/24/01

STATE OF NEW YORK  
COUNTY OF MONROE

Before me, a Notary Public in and for the County and State aforesaid, appeared Yu-Chin Lai to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

  
Notary Public 10/24/01

DEBORAH I. SPENCER  
NOTARY PUBLIC, State Of New York  
No. 01SP6026930  
Qualified In Monroe County  
Commission Expires June 21, 2003



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IN WITNESS WHEREOF, we have respectively, hereunto set our hands and seals;

(2) this 24 day of October, 2001

(2) Edmond T. Quinn  
Edmond T. Quinn

WITNESS AS TO (2):

Deborah I. Spencer

DATE: 10/24/01

STATE OF NEW YORK  
COUNTY OF MONROE

Before me, a Notary Public in and for the County and State aforesaid, appeared Edmond T. Quinn to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

Deborah I. Spencer  
Notary Public 10/24/01

DEBORAH I. SPENCER  
NOTARY PUBLIC, State Of New York  
No. 01SP6026930  
Qualified In Monroe County  
Commission Expires June 21, 2003